

FORM PTO-1390  
REV. 5-93U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

5412/1E887US2

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)**INTERNATIONAL APPLICATION NO.  
PCT/US00/04140INTERNATIONAL FILING DATE  
16 February 2000PRIORITY DATE CLAIMED  
18 February 1999

TITLE OF INVENTION

**PHOSPHOCHOLINE LINKED PRODRUG DERIVATIVES**

APPLICANT(S) FOR DO/EO/US

Bruce H. MORIMOTO; Peter L. BARKER

Applicant herewith submits to the United States Designated/Elected office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S. C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S. C. 371 (b) and PCT Articles 22 and 39 (1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S. C. 371 (c) (2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S. C. 371 (c)2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c) (3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)),
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (with references).
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.  
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney an/or address letter.
16.  Other items or information: Return Copy of Form PCT/DO/EO/905

**EXPRESS MAIL CERTIFICATE**

Date 10/25/01 Label No. EL 767727338-US  
 I hereby certify that on the date indicated above, this paper or  
 fee was deposited with the U.S. Postal Service & that it was  
 addressed for delivery to the Assistant Commissioner for  
 Patents, Washington, DC 20231 by "Express Mail Post Office  
 to Addressee" service.

B.W. LEE  
 Name (Print)

B.W. Lee  
 Signature

U.S. APPLICATION NO. (if known see 37 C.F.R. 1.50) 09/890,006	INTERNATIONAL APPLICATION NO. PCT/US00/04140	Attorney's Docket Number		
17. [x]. The following fees are submitted:		ALCULATIONS      PTO USE ONLY		
Basic National Fee (37 CFR 1.492 (a)(1)-(5)): Search Report has been prepared by the EPO <input type="checkbox"/> or JPO <input type="checkbox"/>		\$860.00		
International preliminary examination fee paid to USPTO (37 CFR 1.482) .....		\$690.00		
No international preliminary examination fee paid to USPTO(37 CFR 4.482) but international search fee paid to USPTO (37 CFR 1.445 (a) (2)...)		\$710.00		
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....		\$1,000.00		
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)....		\$100.00		
<del>ENTER APPROPRIATE BASIC FEE AMOUNT</del> <del>ENTER APPROPRIATE PRACTICE FEE AMOUNT</del>				
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$130.00		
Claims	Number Filed	Number Extra	Rate	
Total Claims	21-20	1	X \$18.00	
Independent Claims	6-3	3	X \$80.00	\$
Multiple dependent claims(s) (if applicable)		+ 270		\$
		<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$130.00</b>
Reduction by 1/2 for filing by small entity, if applicable.				\$65.00
		<b>SUBTOTAL =</b>		<b>\$65.00</b>
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 39 months from the earliest claimed priority date (37 CFR 1.492(f)).		+		\$
		<b>TOTAL NATIONAL FEE =</b>		<b>\$65.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). the assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+		\$40.00
		<b>TOTAL FEES ENCLOSED =</b>		<b>\$105.00</b>
		Amount to be refunded		\$
		charged:		\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$105.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No.04-0100 in the amount of \$ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed.</p>				
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>				
SEND ALL CORRESPONDENCE TO: Howard M. Frankfort, Ph.D. Darby & Darby P.C. 805 Third Avenue New York, New York 10022-7513				
07/2002 UEDUVIJE 00000131 09890006 FC:254                    65.00 OP				
SIGNATURE  NAME Howard M. Frankfort REGISTRATION NO. 32,613				